

AUDIT PANEL

Day: Tuesday
Date: 16 March 2021
Time: 2.00 pm
Place: Zoom

| Item No. | AGENDA | Page No |
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| 1. | APOLOGIES FOR ABSENCE To receive any apologies for the meeting from Members of the Panel. | |
| 2. | DECLARATIONS OF INTEREST To receive any declarations of interest from Members of the Panel. | |
| 3. | MINUTES The Minutes of the meeting of the Audit Panel held on 24 November 2020 to be signed by the Chair as a correct record. | 1 - 8 |
| 4. | MONTH 9 FINANCE REPORT To consider a report of the Executive Member for Finance and Economic Growth / Clinical Lead GP / Director of Finance. | 9 - 22 |
| 5. | ACCOUNTING POLICIES 2020/21 To consider a report of the Director of Finance / Assistant Director of Finance. | 23 - 56 |
| 6. | TREASURY MANAGEMENT STRATEGY 2021/22 To consider a report of the Director of Finance. | 57 - 88 |
| 7. | RISK MANAGEMENT AND AUDIT SERVICES PLANNED WORK 2021/22 To consider a report of the Head of Risk Management and Audit Services. | 89 - 132 |
| 8. | ANNUAL GOVERNANCE STATEMENT 2019/20 - IMPROVEMENT PLAN PROGRESS UPDATE To consider a report of the Director of Finance / Head of Risk Management and Audit services. | 133 - 142 |
| 9. | CORPORATE RISK REGISTER UPDATE MARCH 2021 To consider a report of the Director of Finance / Risk, Insurance and Information Governance Manager. | 143 - 150 |

From: Democratic Services Unit – any further information may be obtained from the reporting officer or from Louis Garrick, Senior Democratic Services Officer, to whom any apologies for absence should be notified.

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| 10. | PROGRESS REPORT ON RISK MANAGEMENT AND AUDIT ACTIVITIES APRIL 2020 TO JANUARY 2021 To consider a report of the Head of Risk Management and Audit Services. | 151 - 176 |
| 11. | URGENT ITEMS To consider any additional items the Chair is of the opinion shall be dealt with as a matter of urgency. | |

From: Democratic Services Unit – any further information may be obtained from the reporting officer or from Louis Garrick, Senior Democratic Services Officer, to whom any apologies for absence should be notified.